Testing Invariance of the Fine Structure Constant with Atomic Clocks

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Search for new physics with clocks:

Search for deviations from present theories, or experimental hints for new theories





Clock-based precision experiments:

Transition frequencies (H, He, He⁺ ...) Lamb shift (QED) Magnetic moments (QED) Ephemerides of planets, spacecraft Millisecond pulsars Red shift, Shapiro delay

A. Einstein (1916: Relativity, The Special and the General Theory)

"... we understand by the "time" of an event the reading (position of the hands) of that one of these clocks which is in the immediate vicinity (in space) of the event."





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Einstein Equivalence Principle

Local Position Invariance: *The outcome of any local non-gravitational test experiment is independent of where and when in the universe it is performed.*

This makes gravitation "universal" and allows it to be described as a geometrical phenomenon, but it also separates gravitation from the other fundamental interactions.

We search for violations of the Equivalence Principle with different atomic clocks:

- Search for a time dependence of fundamental constants
- Test of the universality of the gravitational red shift
- Clock comparison test of Lorentz invariance

Principle of Atomic Clocks



Atomic Clocks with laser-cooled atoms and ions





Optical lattice clocks: Neutral atoms in an optical trap at the "magic" wavelength, Instability: $\sigma_y(1000 \text{ s}) \approx 1 \times 10^{-17}$ Systematic uncertainty: 2×10^{-18}



Single trapped ion: Quantum limited control and very small perturbations, Instability: $\sigma_y(1000 \text{ s}) \approx 1 \times 10^{-16}$ Systematic uncertainty: 3×10^{-18}

Caesium Fountain Clock: Realization of the SI second, Instability: $\sigma_y(1000 \text{ s}) \approx 1 \times 10^{-15}$ Systematic uncertainty: 2×10^{-16}

Systematic uncertainty: on agreement with unperturbed transition frequency Instability: statistical; depends on the measurement time: $\sigma_u(\tau) \propto 1/\sqrt{\tau}$

Highly accurate and stable optical clocks

atom	transition	lab.	syst. unc.	$\sigma_y(1\mathrm{s})$	$\Delta(2 \text{ systems})$	Ref.
			(10^{-18})	(10^{-15})	(10^{-18})	
$\overline{\mathrm{Cs}}$	GS HFS		≈ 200	≈ 20	≈ 200	several
$\overline{\mathrm{Al}^+}$	0-0	NIST	8.6	2.8	18	PRL 104, 070802 (2010)
Yb	0-0	NIST		0.32		Science 341, 1215 (2013)
Sr	0-0	JILA	6.4	0.34	28	Nature 506, 71 (2014)
Sr	0-0	RIKEN	7.2	0.18	1.1	Nat. Phot. 9, 185 (2015)
Sr	0-0	JILA	2.1	0.22		Nat. Comm. 6, 6896 (2015)
Yb^+	E3	PTB	3.2	5		PRL 116, 063001 (2016)

Review on Optical Clocks: A. D. Ludlow, M. M. Boyd, J. Ye, E. Peik, P. O. Schmidt Rev. Mod. Phys. **87**, 637 (2015)

Variety of different atoms and transitions allows tests of fundamental physics.

Work of the Physics Nobel Laureates of 1989 was fundamental for the single-ion clock



Wolfgang Paul



Hans Dehmelt



Norman Ramsey



Radiofrequency Paul trap



Laser cooling, quantum jumps "mono-ion oscillator"



Method of separated oscillatory fields; Here: Generalized Hyper-Ramsey excitation Two Clock Transitions in ¹⁷¹Yb⁺

Advantages of Yb⁺

- long storage time (months; photodissociation of YbH⁺)
- ¹⁷¹Yb⁺: nuclear spin ¹/₂: non-degenerate m=0 ground state

Investigated at: NPL, JPL, ILP, PTB ... for clocks; U. Maryland, Siegen, Ulm, ... for quantum logic.

²P_{1/2} (4f¹⁴6p) $^{2}D_{3/2}(4f^{14}5d)$ E1 370 nm $F=4_{F=3} = {}^{2}F_{7/2}(4f^{13}6s^{2})$ F2 436 nm E3 467nm F=1 $^{2}S_{1/2}(4f^{14}6s)$ F=0

Quadrupole Transition (E2): S-D

- natural linewidth: 3 Hz
- syst. uncertainty ≈ 1×10⁻¹⁶ (BBR shift)

- Octupole Transition (E3): S-F
- nHz natural linewidth
- resolution only limited by clock laser
- smaller shifts through static fields than E2 transition
- large nonresonant light shift from clock laser
- syst. uncertainty $\approx 3 \times 10^{-18}$

Special properties of the Yb^{+ 2}F_{7/2} level



surrounded by the filled 6s shell \rightarrow strongly relativistic, somewhat "shielded" from external

8

10

Uncertainty budget of the PTB ¹⁷¹Yb⁺ E3 single-ion clock

TABLE I. Fractional frequency shifts $\delta\nu/\nu_0(10^{-18})$ and related relative uncertainties $u/\nu_0(10^{-18})$ in the realization of the unperturbed ${}^2S_{1/2}(F=0) \rightarrow {}^2F_{7/2}(F=3)$ transition frequency ν_0 of a single trapped 171 Yb⁺ ion.

Effect	$\delta \nu / \nu_0 ~(10^{-18})$	$u/\nu_0 \ (10^{-18})$	
Second-order Doppler shift	-3.7	2.1>	Better control of localisation, lower heating
Blackbody radiation shift	-70.5	$1.8 \longrightarrow$	• Better materials and design
Probe light related shift	0	1.1	of trap
Second-order Zeeman shift	-40.4	0.6	 Hyper-Ramsey interrogation
Quadratic dc Stark shift	-1.2	0.6	
Background gas collisions	0	0.5	
Servo error	0	0.5	
Quadrupole shift	0	0.3	
Total	-115.8	3.2	 Nearly 100 times lower than for the most precise Cs-clocks

N. Huntemann, C. Sanner, B. Lipphardt, Chr. Tamm, E. Peik, Phys. Rev. Lett. **116**, 063001 (2016)

Reference System: Strontium lattice clock

The ⁸⁷Sr ¹S₀ \rightarrow ³P₀ transition frequency (J=0 \rightarrow J=0) serves as a stable "anchor"; it is only weakly relativistic, and rotationally symmetric.



PTB Sr clock uncertainty: 1.9×10⁻¹⁷ C. Grebing et al., Optica **3**, 563 (2016)

Statistical uncertainty of Yb⁺/Sr comparison reaches 1×10^{-17} in ≈ 2 days



Measuring optical frequencies and frequency ratios with a femtosecond laser as a frequency comb

Time Domain

A femtosecond laser emits a regular sequence of short pulses, from the locking of many modes of the laser resonator.



Frequency Domain

 $f_{opt} = nf_{rep} + f_{beat} + f_{ceo}$





Photo: Sears.P.Studio John L. Hall Photo: F.M. Schmidt Theodor W. Hänsch



Coupling two frequencies to the comb, and using f_{rep} as the common counter-reference signal, allows to measure the optical frequency ratio to arbitrary precision.

$$f_{1} = n_{1}f_{rep} + f_{b1} + f_{ceo}$$

$$f_{2} = n_{2}f_{rep} + f_{b2} + f_{ceo}$$
ratios can be measured with
$$\frac{f_{1}}{f_{2}} = \frac{n_{1} + f_{b1}/f_{rep} + f_{ceo}/f_{rep}}{n_{2} + f_{b2}/f_{rep} + f_{ceo}/f_{rep}}$$

$$f_{1}$$

$$f_{m}$$

$$f_{1}$$

$$f_{2}$$

frequency

rep

f=0

ceo

Results of frequency ratio measurements: Yb+ E3 single-ion / Sr lattice clock



Search for temporal variations of fundamental constants



Discover Mag., A. Guth

Scaling of transition frequencies with fundamental constants

Transition	Energy scaling	
Gross structure	Ry	H spectroscopy
Fine structure	$\alpha^2 Ry$	M. Savedoff, 1956
Hyperfine structure	$\alpha^2 (\mu/\mu_B) Ry$	Cs + Rb fountain clocks
Electronic structure	Ry	
Vibrational structure	$(m_e/m_p)^{1/2}$ Ry	R. Thompson, 1975
Rotational structure	(m_e/m_p) Ry	
ivistic corrections	Function of α^2	J. Prestage, 1995 V. Flambaum et al.
	Transition Gross structure Fine structure Hyperfine structure Electronic structure Vibrational structure Rotational structure	TransitionEnergy scalingGross structureRyFine structure $\alpha^2 Ry$ Hyperfine structure $\alpha^2 (\mu/\mu_B) Ry$ Electronic structureRyVibrational structure $(m_e/m_p)^{1/2} Ry$ Rotational structure $(m_e/m_p) Ry$ ivistic correctionsFunction of α^2

Optical clocks with heavy ions or atoms

From: S. G. Karshenboim, E. Peik (eds.) Astrophysics, Clocks and Fundamental Constants, Lect. Notes in Physics **648** (2004)

Sensitivity factors for different atomic clocks to the value of α

Optical transition frequency of electronic "gross" structure with relativistic contribution

$$f = cR_{\infty}CF(\alpha)$$

Sensitivity factor:

$$K = \frac{1}{F} \frac{dF}{d\alpha}$$

Obtained from ab initio calculations: V. V. Flambaum, V. A. Dzuba Can. J. Phys. **87**, 25 (2009)

Atom, transition	K	-
87 Sr, ${}^{1}S_0 \rightarrow {}^{3}P_0$	0.062	← anchor
${}^{171}\text{Yb}^+, {}^2S_{1/2} \to {}^2D_{3/2}$	1.0	
${}^{171}\text{Yb}^+, {}^2S_{1/2} \to {}^2F_{7/2}$	-6.0	$\leftarrow \textit{most sensitive clock}$
$^{199}\text{Hg}^+, ^2S_{1/2} \to {}^2D_{5/2}$	-2.9	

$$\frac{\mathcal{R} = \nu(Yb^+, E3)/\nu(Sr)}{\frac{\Delta \mathcal{R}}{\mathcal{R}}} = -6\frac{\Delta \alpha}{\alpha}$$

Yb⁺/Sr frequency ratio would change 6x faster than $\boldsymbol{\alpha}$

Hyperfine transition:
$$f_{hfs} = \alpha^2 c R_\infty CF(\alpha) G(\mu_N/\mu_B)$$
 (e.g.: caesium clock)

Also sensitive to nuclear magnetic moments and nuclear structure

Results of frequency ratio measurements: Yb+ E3 single-ion / Sr lattice clock



From a linear regression:
$$\frac{d \ln \alpha}{dt} = (-4.3 \pm 2.5) \cdot 10^{-18} \text{ yr}^{-1}$$

Results of optical frequency measurements with Cs clocks



From a linear regression:
$$\frac{d \ln \alpha}{dt} = (2.0 \pm 2.5) \cdot 10^{-17} \text{ yr}^{-1}$$

Improved limits on temporal variations of fundamental constants: α and $\mu = m_p/m_e$



Improves uncertainties in:

d ln α /dt by factor \approx 8 (model-independent) d ln μ /dt by factor \approx 2 (using relation of Cs-HFS to μ)

Earlier analyses of Cs-based measurements for m_p/m_e , : R.M. Godun et al. (NPL), PRL **113**, 210801 (2014) N. Huntemann et al. (PTB), PRL **113**, 210802 (2014) Improvement in uncertainty on the time variation of α from atomic clocks



For comparison: $d \ln \alpha / dt (1/yr)$ Dirac's large number hypothesis: $H_0 \approx 7 \times 10^{-11}$ Uncertainties in quasar absorption spectra: $10^{-15} \dots 10^{-16}$ Movement of earth in "Australian dipole" (J. Webb) $\approx 1 \times 10^{-19}$

Testing the universality of gravitational red shift





Search for annual frequency variations as a test of Local Position Invariance

Solar gravitational potential on the geoid shows annual variation (in $\Delta U/c^2$ by 3.3×10⁻¹⁰) because of the ellipticity of the earth orbit.

Search for a frequency difference in gravitational red-shift between two dissimilar clocks

$$\frac{\Delta f}{f} = (\beta_2 - \beta_1) \frac{\Delta U}{c^2}$$

"Null gravitational red-shift experiment": J. P. Turneaure et al., 1983

Here: Search for a change of α in the Yb⁺/Sr frequency ratio





Dependence of the Yb+/Sr frequency ratio on the gravitational potential



≈24x more stringent than previous limits from Rb/H, Rb/Cs, Dy/Cs see: M. Abgrall *et al., Comptes Rendus Physique* **16,** 461 (2015)



Clock comparison test of Lorentz invariance





Optical tests of Lorentz invariance: Michelson-Morley experiment



E. Fesseler, A. Peters, HU Berlin

A. Kostelecky, Standard-Model Expansion:

Search for Lorentz and CPT violation in all interactions of the standard model

Is the electron's dispersion relation isotropic in space?

Michelson-Morley experiment for electrons

Ca⁺ experiment: T. Pruttivarasin et al., Nature **517**, 592 (2015)



There is a large variety of electron containers...



Measuring the energy shift of pairs of Zeeman-sublevels

Diagnose $< ... |\delta H| ... >$ by looking for a shift of $\pm m_J$ level pairs with earth's rotation.

Prepare a two ion state, e.g., $|m_{J1}=-1/2, m_{J2}=1/2>$ and monitor its energy with respect to a second m_J pair



For I=1/2 the $|m_F=0>$ state is a superposition of $m_J=\pm 1/2$. Measure the shift of (F=3, $m_F=0$) with respect to the ground state, i.e. the frequency of the ¹⁷¹Yb⁺ E3 optical clock

T. Pruttivarasin et al., Nature **517**, 592 (2015)

Comparing 171Yb+ 2F7/2 to 87Sr 3P0



Preliminary analysis (more data upcoming): About $\times 3$ improvement in limit of $C_0^{(2)}$ over Ca⁺ experiment.

Summary and Conclusion

Progress in the accuracy of atomic optical clocks leads to sensitive opportunities in searches for New Physics.

- The Yb⁺ S \rightarrow F octupole transition has been used in improved tests of:
- Temporal variations of α and $m_{\rm p}/m_{\rm e}$
- Coupling of α to gravity
- Lorentz invariance for electrons

The equivalence principle has passed all tests with clocks so far.

Even these Null results are useful, as the experiments help to improve the clocks and thus may open new fields of applications.

More sensitive experiments will be possible with new systems, like the Th-229 nuclear clock.

PTB Working Groups: Optical Clocks with Trapped Ions, Caesium Fountain Clocks, Optical Lattice Clocks

Yb⁺ clocks N. Huntemann C. Sanner R. Lange B. Lipphardt Chr. Tamm

Th-229 M. Okhapkin D.-M. Meier J. Thielking P. Glowacki





V. Gerginov



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Postdoc Position Available

FMRP



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nuelock

Horizon 2020 **European Union funding** for Research & Innovation

Sr lattice clock

S. Dörscher, A. Al-Masoudi, S. Häfner, C. Lisdat, C. Grebing, U. Sterr N. Lemke, S. Falke

Funding: DFG, EURAMET, EU H2020





Thorium-229: the nucleus with the lowest-lying excited state

The only known isomer with an excitation energy in the optical range and in the range of outer shell electronic transitions.



Review article:

E. Peik, M. Okhapkin, Comptes Rendus Physique **16**, 536 (2015) also available at: arXiv:1502.07322

<u>Th-229: the most sensitive probe in a search</u> for variations of the fundamental coupling constants?

V. Flambaum: Phys. Rev. Lett. 97, 092502 (2006):

 α -sensitivity of nuclear transition frequency ω is due to the Coulomb contribution to the nuclear energy difference.

$$\frac{\delta\omega}{\omega} = K \frac{\delta\alpha}{\alpha}$$
$$K = \frac{E_{C,m} - E_{C,g}}{\hbar\omega}$$

 $|K| = 0 \dots 10^5$ Predictions vary widely, depending on model of nuclear structure

Solution: Use measurements of isomer shifts, HFS and atomic structure calculations J. C. Berengut, V. A. Dzuba, V. V. Flambaum, S. G. Porsev, PRL **102**, 210808 (2009)

Measure: $\Delta < r^2 >$ from isomer shift (field shift)

Similar sensitivity of ω to QCD contributions expected.

Low-energy nuclear physics with ²²⁹Th

• modified decay rates in different electronic environments, electronic bridge processes. Tkalya, Karpeshin, et al.

• highly precise and highly stable optical nuclear clock, trapped ions and doped solids. Peik and Tamm, Hudson, Kuzmich, Schumm

• test system for "new physics": variations of fundamental constants, violation of Lorentz invariance. Flambaum et al.

• γ-ray laser. Tkalya



Review article: E. Peik, M. Okhapkin, Comptes Rendus Physique **16**, 536 (2015) also available at: arXiv:1502.07322