# Tying together instantons and anti-instantons

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Ginzburg Centennial Conference on Physics, May 30, 2017

#### Path integral formulation of quantum mechanics

Classical mechanical system  $\mathcal{P} \Longrightarrow$  quantum system  $(\mathcal{A}, \mathcal{H}, \widehat{\mathcal{H}})$ 

 $\mathcal{A}$  = algebra of observables  $\mathcal{H}$  = space of states  $\widehat{\mathcal{H}}$  = Hamiltonian, generates time evolution

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#### Classical phase space $\mathcal{P}$

Classical equations of motion: Hamilton equations

$$\delta \int_{A}^{B} \mathbf{p} d\mathbf{q} - H(\mathbf{p}, \mathbf{q}) dt = 0 \Longrightarrow$$
$$\dot{\mathbf{p}} = -\frac{\partial H}{\partial \mathbf{q}}$$
$$\dot{\mathbf{q}} = \frac{\partial H}{\partial \mathbf{p}}$$

Classical phase space P, Hamilton equations

$$\dot{\mathbf{p}} = -rac{\partial H}{\partial \mathbf{q}}, \qquad \dot{\mathbf{q}} = rac{\partial H}{\partial \mathbf{p}}$$

First order equations:

can fix A and B as Lagrangian submanifolds in  $\mathcal{P}$ 



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#### Classical phase space P

#### Boundary conditions:

can fix A and B as Lagrangian submanifolds in  $\mathcal{P}$ 



Roughly as points in the configuration space  $\mathfrak{X},$  if  $\mathfrak{P}=\mathcal{T}^*\mathfrak{X}$ 

Locally OK, globally interesting and complicated

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Lots of trajectories

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Sum  $\sum_{i}$  over all trajectories  $(\mathbf{p}_i, \mathbf{q}_i)(t)$  in the classical phase space  $\mathcal{P}$ 

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trajectories connecting  $\rightarrow B$ 

A

## <B|evolution operator|A> =







Path integral shows that

Evolution operator  $U_t$  is a solution of the Schrödinger equation

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Path integral shows that

Evolution operator  $U_t$  is a solution of the Schrödinger equation

$$\mathrm{i}\hbar\frac{\partial U_t}{\partial t} = \widehat{H}U_t$$

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Path integral shows that

Evolution operator  $U_t$  is a solution of the Schrödinger equation

$$\mathrm{i}\hbar\frac{\partial U_t}{\partial t} = \widehat{H}U_t \Longrightarrow U_t = \exp\left(-\frac{\mathrm{i}t}{\hbar}\widehat{H}\right)$$

we assume  $\widehat{H}$  is stationary, i.e. no explicit *t*-dependence

We want to learn about the spectrum of  $\widehat{H}$ 

$$\widehat{\boldsymbol{H}}|\psi_i\rangle = \boldsymbol{E}_i |\psi_i\rangle$$

 $|\psi_i
angle\in \mathfrak{H}$  – complete basis of the space of states

Path integral helps

to learn about the spectrum of  $\widehat{H}$ 

$$\mathsf{Tr}_{\mathfrak{H}} \, oldsymbol{\mathcal{U}}_{\mathcal{T}} = \sum_{i} e^{-rac{\mathrm{i} \mathcal{T}}{\hbar} \, oldsymbol{\mathcal{E}}_{i}}$$

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#### Quantum picture Path integral helps

to learn about the spectrum of  $\widehat{H}$ 

$$\sum_{i} e^{-\frac{iT}{\hbar}E_{i}} = \operatorname{Tr}_{\mathcal{H}} \bigcup_{\mathcal{T}} = \int_{\mathcal{A}\in\mathcal{X}} \langle \mathcal{A} | \bigcup_{\mathcal{T}} | \mathcal{A} \rangle$$

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#### Nature of time: Euclidean arrow of time points south!

#### So now we compute



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## A textbook problem

Level splitting

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$$p = 0, x = -x_0$$



$$p = 0, x = +x_0$$

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Classical life is doubly degenerate


Excitations correspond to the Bohr-Sommerfield orbits

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Bohr-Sommerfield orbits:  $\oint_{\gamma_{L,R}} p dx = 2\pi \hbar N_i, \ N_i \in \mathbb{Z} + \dots$ 

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Bohr-Sommerfield orbits:  $\oint_{\gamma_{L,R}} p dx = 2\pi \hbar N_i$ ,  $N_i \in \mathbb{Z} + ...$ The spectrum **is** doubly degenerate to all orders in  $\hbar$  expansion

#### From classical to quantum energy levels



$$\Psi_{\pm}^{(i)} = \frac{1}{\sqrt{2}} \left( \Psi_L^{(i)} \pm \Psi_R^{(i)} \right)$$

The spectrum is doubly degenerate to all orders in  $\hbar$  expansion

$${\it E}^{(i)}_+-{\it E}^{(i)}_-={\it O}(\hbar^\infty)$$

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## Quantum energy levels

The spectrum **cannot be** doubly degenerate, certainly not the ground state, as Feynman's variational method quickly shows

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#### Feynman's variational method quickly shows



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# The textbook solution

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#### Textbook solution: compute

$$\begin{split} & \lim_{T \to +\infty} \langle -x_0 | \ U_T^E \ |x_0 \rangle \approx e^{-TE_+^{(0)}} |\Psi_+^{(0)}(x_0)|^2 - e^{-TE_-^{(0)}} |\Psi_-^{(0)}(x_0)|^2 \\ & \lim_{T \to +\infty} \langle x_0 | \ U_T^E \ |x_0 \rangle \approx e^{-TE_+^{(0)}} |\Psi_+^{(0)}(x_0)|^2 + e^{-TE_-^{(0)}} |\Psi_-^{(0)}(x_0)|^2 \end{split}$$

$$\Psi_{\pm}(x) = \pm \Psi_{\pm}(-x)$$

Textbook solution: compute for  $T \to \infty$ 

$$\begin{aligned} \langle -x_0 | \ U_T^E \ | x_0 \rangle &= \int_{\text{paths:} x_0 \to (-x_0)} Dp Dx \ e^{\frac{i \int p dx - \int_0^T H(p, x) dt}{\hbar}} \\ \langle x_0 | \ U_T^E \ | x_0 \rangle &= \int_{\text{paths:} x_0 \to x_0} Dp Dx \ e^{\frac{i \int p dx - \int_0^T H(p, x) dt}{\hbar}} \end{aligned}$$

Textbook solution: compute for small  $\hbar \rightarrow 0$ ,  $T \rightarrow \infty$ 

$$\begin{aligned} \langle -x_0 | \ U_T^E \ |x_0 \rangle &= \int_{\text{paths:} x_0 \to (-x_0)} Dp Dx \ e^{\frac{i \int p dx - \int_0^T H(p,x) dt}{\hbar}} \\ \langle x_0 | \ U_T^E \ |x_0 \rangle &= \int_{\text{paths:} x_0 \to x_0} Dp Dx \ e^{\frac{i \int p dx - \int_0^T H(p,x) dt}{\hbar}} \end{aligned}$$

Textbook solution:  $\hbar \to 0 \Longrightarrow$  saddle points for  $T \to \infty$ 

$$\delta\left(i\int pdx - \int_0^T H(p, x)dt\right) = 0$$
$$i\dot{x} = \frac{\partial H}{\partial p}$$
$$-i\dot{p} = \frac{\partial H}{\partial x}$$

Textbook solution:  $\hbar \to 0 \Longrightarrow$  saddle points for  $T \to \infty$ 

$$\delta\left(i\int pdx - \int_{-T/2}^{T/2} H(p,x)dt\right) = 0$$

Hamilton equations with a twist, by 90 degrees

$$\mathbf{i}\dot{x} = \frac{\partial H}{\partial p} = p$$

$$-\mathbf{i}\dot{p} = \frac{\partial H}{\partial x} = U'(x)$$

 $x(-T/2) = x_0, \qquad x(T/2) = \pm x_0$ 

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Textbook solution:  $\hbar \to 0 \Longrightarrow$  saddle points for  $T \to \infty$ 

$$\delta\left(i\int pdx - \int_{-T/2}^{T/2} H(p,x)dt\right) = 0$$

Hamilton equations with a twist, by 90 degrees

$$i\dot{x} = p$$
,  $-i\dot{p} = U'(x) \Longrightarrow H(p,x) = const$ 

$$x(-T/2) = x_0, \qquad x(T/2) = \pm x_0$$

Textbook solution:  $\hbar \to 0 \Longrightarrow$  saddle points for  $T \to \infty$ Textbooks usually solve for p, and get



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#### Finite action saddle point for $T = \infty$



#### Finite action saddle point for $T = \infty$





+ some reasonable estimates of the effects of fluctuations one arrives at

$$E_+^{(0)}-E_-^{(0)}\propto e^{-2S_{f i}/\hbar}$$

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# Superpose Instantons and Anti-Instantons exponentially accurate "time + some reasonable estimates of the effects of fluctuations one arrives at

$$E_+^{(0)} - E_-^{(0)} \propto e^{-2S_{
m i}/\hbar}$$

$$S_{\mathbf{i}}=\int_{-x_0}^{x_0}\sqrt{2U(x)}dx\,,$$

an instanton action

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$$E_{+}^{(0)} - E_{-}^{(0)} = e^{-2S_{i}/\hbar} (1 + \ldots)$$

loop expansion

$$S_{\mathbf{i}}=\int_{-x_0}^{x_0}\sqrt{2U(x)}dx\,,$$

an instanton action

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The  $\mathfrak{I} - \overline{\mathfrak{I}}$  superposition is not a saddle point!

#### Superposition of Instantons and Anti-Instantons aka the instanton gas



is not a saddle point! Fluctuations contain tadpoles:  $\delta S \neq 0$ 

Interpretation: tadpoles move us toward the true saddle points

A. Schwarz: "Newton's method" (E. Bogomolny'80)

#### Superposition of Instantons and Anti-Instantons aka the instanton gas



is not a saddle point! Fluctuations contain tadpoles:  $\delta S \neq 0$ 

E. Bogomolny (1980) has improved this method: tadpoles as sources

$$S \to S - \frac{1}{2} \delta S \left( \delta^2 S \right)^{-1} \delta S$$

 $\implies$  interaction potential of interaction between the  ${\mathfrak I}$  and  $\overline{{\mathfrak I}}$ 



is not a saddle point! Fluctuations contain tadpoles:  $\delta S \neq 0$ 

But where are the true saddle points?

$$S \to S - \frac{1}{2} \delta S \left( \delta^2 S \right)^{-1} \delta S - \dots$$
$$\Im \overline{J} \to \Im \overline{J} - \left( \delta^2 S \right)^{-1} \delta S - \dots \to ????$$

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## Change gears for a bit

Back to path integral

$$Z = \int_{\mathcal{F}ields} \left[ D\phi \right] \ e^{-\frac{S(\phi)}{\hbar}}$$

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## **Topological renormalisation group**

Well-known general idea: view the path integral

$$Z = \int_{\mathcal{F}} [D\phi] e^{-rac{S(\phi)}{\hbar}}$$

as a period:

$$Z = \int_{\Gamma} \Omega_{\hbar}, \qquad \Omega_{\hbar} = [D\phi] \ e^{-rac{S(\phi)}{\hbar}}$$

a middle-dimensional contour  $\Gamma\subset \mathcal{F}^{\mathbb{C}}$ 

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### **Topological renormalisation group**

The period does not change when the contour is deformed

$$Z = \int_{\Gamma} \Omega_{\hbar}, \qquad \Omega_{\hbar} = [D\phi] \ e^{-rac{S(\phi)}{\hbar}}$$

Optimal choice of the contour: gradient flow for some hermitian metric h on  $\mathcal{F}^{\mathbb{C}}$ 

 $V = \nabla^h \left( \operatorname{Re}(S/\hbar) \right)$ 

### **Topological renormalisation group**

The period does not change when the contour is deformed

$$Z = \int_{\Gamma} \Omega_{\hbar}, \qquad \Omega_{\hbar} = [D\phi] \ e^{-rac{S(\phi)}{\hbar}}$$

gradient flow for some hermitian metric h on  $\mathcal{F}^{\mathbb{C}}$ 

 $V = \nabla^h \left( \operatorname{Re}(S/\hbar) \right)$ 

$$\Gamma_{0}=\mathcal{F}\longrightarrow\Gamma_{t}=e^{tV}\left(\mathcal{F}\right)$$

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## Fixed points of the topological renormalisation group



## **Complex saddle points for partition functions**

$$Z = \sum_{\mathbf{a}} n_{\mathbf{a}} \int_{\mathcal{T}_{\mathbf{a}}} \Omega_{\hbar},$$

 $T_{\mathbf{a}}$  - Lefschetz thimbles (F. Pham'83) emanating from the critical point  $\varphi_{\mathbf{a}}$  $dS|_{\varphi_{\mathbf{a}}} = 0$ 



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#### Complex saddle points for partition functions

$$Z = \sum_{\mathbf{a}} n_{\mathbf{a}} \int_{\mathcal{T}_{\mathbf{a}}} \Omega_{\hbar}$$

 $T_{\mathbf{a}}$  - Lefschetz thimbles emanating from the critical point  $\varphi_{\mathbf{a}}$  $dS|_{\varphi_{\mathbf{a}}} = 0$ 



- A. Varchenko, A. Givental'82
- F. Pham'83
- V. Arnol'd-A. Varchenko-S. Gusein-Zade'83

- S. Cecotti'91
- S. Cecotti, C. Vafa'91
- A. Losev, NN'93
- A. Iqbal, K. Hori, C. Vafa'00
- E. Witten'09

#### Path integral as period

The action in  $e^{-S/\hbar}$ 

$$S = -i \int_{\gamma} \mathbf{p} d\mathbf{q} + \int_{0}^{1} ds H(\mathbf{p}(s), \mathbf{q}(s))$$
  
The fields:  $\mathcal{F} = L\mathcal{P}$ 

is the space of parametrized loops  $\varphi: S^1 \to \mathcal{P}$ 

 $arphi(s) = (\mathbf{p}(s), \mathbf{q}(s)) \in \mathcal{P}, \quad arphi(s+1) = arphi(s) \;.$ 

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## **Complexify the classical picture**

- Complex phase space  $(\mathcal{P}_{\mathbb{C}}, \varpi_{\mathbb{C}}), \qquad \qquad \varpi_{\mathbb{C}} = d\mathbf{p}_{\mathbb{C}} \wedge d\mathbf{q}_{\mathbb{C}}$

• Holomorphic Darboux coordinates  $(\mathbf{p}_{\mathbb{C}}, \mathbf{q}_{\mathbb{C}})$ 

## Now contour is in the complexified loop space



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#### Complex Saddle Points: qualitative picture



#### Complex Saddle Points: qualitative picture



The complexified phase space is  $\mathbb{C}^2\approx\mathbb{R}^4$  now

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The complexified energy level space is now an elliptic curve  $\mathcal{E} \approx \mathbb{T}^2$ 

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Our old friends real energy levels are the real slices of that  $\mathbb{T}^2$ 







Maps to piecewise linear paths on the torus:

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Torus cycles: winding (3, 4)



This  $\uparrow\uparrow\uparrow\uparrow$  is not a critical point!

Torus cycles: winding (3, 4)



The gradient flow moves **\\** towards a critical point!

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It moves . . .

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And moves . . .



And moves . . .



And moves further down ....



Until we reach the critical point

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# Where are the instantons and anti-instantons?



# What are the critical points $\varphi_a$ 's in general?



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# With an additional assumption

of "algebraic integrability"

 $\mathfrak{P}_{\mathbb{C}}$  fibers over  $\mathfrak{B}_{\mathbb{C}} \subset \mathbb{C}^r$ 



The complex critical points are : rational windings on tori  $\mathbb{T}^{2r}$  - complex tori (abelian varieties)

# **Two winding vectors**

 $\mathbf{n}, \mathbf{m} \in \mathbb{Z}^r$ 



# Algebraic integrability : action variables

$$a^{i}=\oint_{\mathcal{A}_{i}}\mathbf{p}d\mathbf{q},\qquad a_{D,i}=\oint_{\mathcal{B}^{i}}\mathbf{p}d\mathbf{q}$$

2r variables on r-dimensional space: non-independent

 $\mathbf{a}_D d\mathbf{a} = d\mathcal{F}$ 

 $\mathcal F\text{-}\mathsf{prepotential}$  of the effective low-energy  $\mathcal N=2$  action

Algebraic integrability : action variables



Monodromy in  $Sp(2r,\mathbb{Z})$ 

# Algebraic integrability :

## action variables near degeneration locus $\Sigma$ Complex codimension 1 stratum: one vanishing cycle



Algebraic integrability : Feature of complex angle variables: Double periodicity

$$\oint_{A_i} \varpi_j = \delta_j^i, \qquad \oint_{B^i} \varpi_j = \tau_{ij} = \frac{\partial^2 \mathcal{F}}{\partial a^i \partial a^j}$$
$$\phi_i \sim \phi_i + n_i + \sum_{j=1}^r \tau_{ij} m^j, \qquad n_i, m^k \in \mathbb{Z}$$

### Now we can solve for the Complex Saddle Points

$$\delta S = 0 \quad \Leftrightarrow$$

$$i\frac{d\mathbf{p}}{ds} = -\frac{\partial H}{\partial \mathbf{q}}, \qquad i\frac{d\mathbf{q}}{ds} = \frac{\partial H}{\partial \mathbf{p}}$$

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the critical loop  $\varphi_{\mathsf{a}} = [\gamma(s)]$  sits in a particular fiber  $\mathbb{T}_b^{2r}$ ,  $b \in \mathbb{B}_{\mathbb{C}}$ 

#### **Complex Saddle Points**

Pass to action-angle variables

$$\frac{d\phi}{ds} = i\frac{\partial H}{\partial a}, \qquad \frac{da}{ds} = 0$$
$$\implies$$

the critical loop  $\varphi_{\mathbf{a}} = [\gamma(s)]$  sits in a particular fiber  $\mathbb{T}_{b}^{2r}$ ,  $b \in \mathcal{B}_{\mathbb{C}}$ where the motion is a straight line in the angle variables

$$\phi(s) = \phi(0) + \Omega s$$
 $\Omega = \mathrm{i} rac{\partial H}{\partial \mathbf{a}}$ 

**Complex Saddle Points** 

Pass to action-angle variables

$$\frac{d\phi}{ds} = i\frac{\partial H}{\partial a}, \qquad \frac{da}{ds} = 0$$

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$$\phi(s) = \phi(0) + {f \Omega} \, s$$
 ${f \Omega} = {
m i} {\partial H \over \partial {f a}}$ 

The fiber *b* is fixed by  $\phi(0) = \phi(1)$  up to the periods

$$\phi(1) = \phi(0) + \mathbf{n} + \tau \cdot \mathbf{m}$$

#### Superpotential for Complex Saddle Points

$$\mathbf{\Omega} = \mathbf{n} + \tau \cdot \mathbf{m} = \mathrm{i} \frac{\partial H}{\partial \mathbf{a}}$$

for some integer vectors  $\mathbf{n}, \mathbf{m} \in \mathbb{Z}^r$ 

 $\Leftrightarrow d\mathcal{W}_{\mathbf{n},\mathbf{m}} = \mathbf{0}$ 

 $\mathcal{W}_{\mathbf{n},\mathbf{m}}(b) = \mathbf{n} \cdot \mathbf{a}(b) + \mathbf{m} \cdot \mathbf{a}_D(b) - H(b)$ 

Well-defined on  $\widehat{\mathcal{B}}_{\mathbb{C}} \setminus \widehat{\Sigma}$ 

Landau-Ginzburg description! for integer vectors  $\mathbf{n}, \mathbf{m} \in \mathbb{Z}^r$ 

 $d\mathcal{W}_{\mathbf{n},\mathbf{m}} = 0$  $\mathcal{W}_{\mathbf{n},\mathbf{m}}(b) = \mathbf{n} \cdot \mathbf{a}(b) + \mathbf{m} \cdot \mathbf{a}_D(b) - H(b)$ 

Supersymmetric  $d = 2 \mathcal{N} = 2 \text{ LG model}$ 

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# So, now we are facing the next question :

Where are the critical points of the superpotential  $\mathcal{W}_{n,m}$ ?

## Picard-Lefschetz theory :

In the limit where  $T \rightarrow \infty$  degeneration  $b \rightarrow b_*$ 

 $b_*\in\Sigma$ 

 $codim_{\mathbb{C}} = 1$  stratum: one vanishing cycle

$$a \sim T_0(b-b_*) \rightarrow 0, \qquad a_D \sim 2S_i + \frac{1}{2\pi i}a(\log(a)-1) + \dots$$

$$rac{\partial a}{\partial b} 
ightarrow T_0, \qquad rac{\partial a_D}{\partial b} \sim rac{T_0}{2\pi \mathrm{i}} \mathrm{log}\left(T_0(b-b_*)\right) + \ldots$$

can make estimates ...

Algebraic integrability r = 1, one degree of freedom, examples  $\varpi = dp \wedge dx$ ,  $H = \frac{1}{2}p^2 + U(x)$ 



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# Another curious quantum-mechanical example

Probe particle in a black hole background



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# Another curious quantum-mechanical example

Probe particle in a mass *M* Schwarzschild black hole background Fixed energy *E*, fixed angular momentum  $L \Longrightarrow$ elliptic curve in the complexified phase space

$$\left(\frac{L}{r^2}\frac{dr}{d\varphi}\right)^2 = E^2 - \left(1 - \frac{2M}{r}\right)\left(1 + \frac{L^2}{r^2}\right)$$

Another curious quantum-mechanical example Probe particle in a mass M Schwarzschild black hole background Fixed energy E, fixed angular momentum  $L \Longrightarrow$ elliptic curve in the complexified phase space

$$\left(\frac{L}{r^2}\frac{dr}{d\varphi}\right)^2 = E^2 - \left(1 - \frac{2M}{r}\right)\left(1 + \frac{L^2}{r^2}\right)$$
$$p^2 = E^2 - (1 - 2Mz)\left(1 + z^2\right), \qquad d\varphi = L\frac{dz}{p}$$

In the limit  $\mathcal{T} \to \infty$ the elliptic curve (the energy level) degenerates the action variables near degeneration locus  $\Sigma$ 

$$a \sim T_0(b - b_*) \rightarrow 0,$$
  $a_D \sim 2S_i + \frac{1}{2\pi i}a(\log(a) - 1) + \dots$   
 $i\tau = m\frac{\partial a}{\partial b} + n\frac{\partial a_D}{\partial b} \sim mT_0 + \frac{nT_0}{2\pi i}\log\left(\frac{b - b_*}{b_0}\right) + \dots$ 

-

#### In the limit $T \to \infty$

#### the complex energy is thus fixed to be

$$E(b) \sim b_{m,n} = b_* + b_0 e^{-\frac{2\pi i m}{n}} e^{-\frac{2\pi T}{nT_0}},$$

Two quantum numbers!

n = 1, 2, ..., and m = 0, 1, ..., n - 1
In the limit  $T o \infty$ 

$$E(b) \sim b_{m,n} = b_* + b_0 e^{-rac{2\pi i m}{n}} e^{-rac{2\pi T}{nT_0}} \, ,$$

### Two quantum numbers!

 $n = 1, 2, \ldots,$ and  $m = 0, 1, \ldots, n-1$  For (m, n) = (0, 1) these are BI-ons of G.Dunne and M.Unsal'13-15 Also, G.Basar, R.Dabrowski, G.Dunne, M.Shifman, M.Unsal, ...

In the limit  $T \to \infty$ 

$${\sf E}(b) \sim b_{m,n} = b_* + b_0 e^{-rac{2\pi {
m i} m}{n}} e^{-rac{2\pi {
m i} T}{nT_0}} \, ,$$

### Two quantum numbers: emergent topology! n = 1, 2, ..., and m = 0, 1, ..., n - 1

For (m, n) = (0, 1) these are BI-ons of G.Dunne and M.Unsal'13-15 Also, G.Dunne, R.Dabrowski, G.Basar, M.Unsal, M.Shifman, ...

### Complex energy In the limit $T \to \infty$

$$E(b) \sim b_{m,n} = b_* + b_0 e^{-rac{2\pi i m}{n}} e^{-rac{2\pi T}{nT_0}},$$



### Complex energy In the limit $T \to \infty$

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### Complex energy In the limit $T \to \infty$

$$E(b) \sim b_{m,n} = b_* + b_0 e^{-rac{2\pi i m}{n}} e^{-rac{2\pi T}{nT_0}}$$



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### Fine structure of the saddle points



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# Fine structure of the saddle points





### Where are the instantons now?

# Where are the instantons/antiinstantons now?



Degenerate abelian variety. The solution requires  $T = \infty$ .



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• Zero-modes: the whole abelian variety. Only middle-dimensional cycle contributes to T<sub>a</sub>



- Zero-modes: the whole abelian variety. Only middle-dimensional cycle contributes to  $T_a$
- Non-zero modes: Evaluate the one-loop determinants



- Zero-modes: the whole abelian variety. Only middle-dimensional cycle contributes to  $T_a$
- Non-zero modes: Evaluate the one-loop determinants
- Figure out relative phases of  $\varphi_a$  contributions (spectral flow)

### Next steps



- $\bullet$  Zero-modes: the whole abelian variety. Only middle-dimensional cycle contributes to  $\mathcal{T}_{\rm a}$
- Non-zero modes: Evaluate the one-loop determinants
- Relative phases of  $\varphi_{\mathbf{a}}$  contributions:

the imprint of the "negative" modes

 $\bullet$  Set up perturbation theory to include  $\hbar\text{-corrections}$ 

### Next steps



- Zero-modes: the whole abelian variety.
   Only middle-dimensional cycle contributes to T<sub>a</sub>
- Non-zero modes: Evaluate the one-loop determinants
- $\bullet$  Relative phases of  $\varphi_{\mathbf{a}}$  contributions:

the imprint of the "negative" modes

- Set up perturbation theory to include  $\hbar\text{-corrections}$
- Recognize in the asymptotic nature of ħ-expansion the influence of different φ<sub>a</sub>'s, e.g.
- in the poles of the Borel transforms

# Resurgence

### connects perturbative and non-perturbative physics

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### Resurgence connects perturbative and non-perturbative physics



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# Resurgence, perturbative/non-perturbative relations



J. Ecalle'81 A. Voros'81-04 F. Pham'83-97 A. Vainshtein'64 C Bender and T Wu'69 J.J. Duistermaat and V.W. Guillemin'75 L. Lipatov'77 B. Malgrange'79 M. Shifman, A. Vainshtein, V. Zakharov'83 E Bogomolny, J. Zinn-Justin'84 M.V. Berry and C.J. Howls'94 P. Argyres, M. Unsal'12 M Kontsevich and Y. Soibelman'??

# Resurgence



#### **Resurgent Asymptotics in Physics and Mathematics**

#### Coordinators: Gerald Dunne, Ricardo Schiappa, Mikhail Shifman, Mithat Unsal

#### Scientific Advisors: Christopher Howls, Wolfgang Lerche

Asymptotics is one of the most powerful imathematical tools in theoretical physics, and recent mathematical progress in the modern theory of neurgent asymptotic analysis (and partures areing) have note pacified systematically to many current problems of interest in physics, such as matrix models, string theory, and quantum field theory. Mathematically, much progress have been made in the asymptotics of liferential and difference equations, both the mark modern of physical applications have highlighted the importance of localization, complex integrable systems, infinite dimensional Morse theory, asdele point analysis of path integrals and Parent Lethoret theory.

The goal of this program is to bring together experts in these diverse fields of physics and mathematics to exchange new ideas and techniques, and to identify the truly significant problems to be addressed in the near future. Specific focus topics include:

- Resurgence and non-perturbative physics with applications in gauge theory, sigma models, matrix models, string theory, AdS/CFT, supersymmetry, integrability, and localizable QFT.
- · Resurgent asymptotics of nonlinear differential and difference equations, exact WKB, and Stokes phases.
- Picard-Lefschetz theory and novel computational methods for semiclassical analysis, lattice gauge theory, and real-time path integrals.





Applications will be considered and invitations will be issued after the above deadline.

Origin of these ideas

# **Bethe/gauge correspondence**

Gauge theories with  $\mathcal{N} = (2, 2) d = 2$  super-Poincare invariance

 $\Leftrightarrow$ 

Quantum integrable systems

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### $\mathsf{QIS} \approx \mathsf{Bethe} \ \mathsf{Ansatz} \ \mathsf{soluble}$





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# **Bethe/gauge correspondence**

NN, S.Shatashvili, circa 2007

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### Supersymmetric vacua (in finite volume) of gauge theory

 $\Leftrightarrow$ 

Stationary states of the QIS

# **Bethe/gauge correspondence**

Equations for vacua from minimization of the effective potential

$$\frac{\partial \widetilde{W}(\sigma)}{\partial \sigma_i} = 2\pi \mathrm{i} n_i, \qquad i = 1, \dots, r$$

#### $\Leftrightarrow$

### Bethe equations of the QIS



Four dimensional theories

e.g.  $\mathcal{N} = 2$  super-Yang-Mills theory in four dimensions

Viewed as two dimensional theories with SO(2) *R*-symmetry rotations of two extra dimensions



Four dimensional  $\mathcal{N} = 2$  theory

### Viewed as two dimensional theory with SO(2) R-symmetry Turn on the twisted mass for this symmetry $\implies \hbar$

Compactify the 1+1 dimensional spacetime on  $\mathbb{R}\times\mathbb{S}^1$  (finite volume)

Four dimensional  $\mathcal{N} = 2$  theory

Compactified onto  $\mathcal{D}_{\hbar} \times \mathbb{S}^1 \times \mathbb{R}^1$  (cigar  $\times$  circle  $\times$  time axis)



 $\theta$ -angular coordinate on  $\mathcal{D}_{\hbar}$ With  $\Omega$ -deformation along the cigar  $\mathcal{D} = D_{\mu}\phi \longrightarrow D_{\mu}\phi + \hbar F_{\mu\theta}$ 

Four dimensional  $\mathcal{N} = 2$  theory

Compactified onto  $\mathcal{D}_{\hbar} \times \mathbb{S}^1 \times \mathbb{R}^1$  (cigar  $\times$  circle  $\times$  time axis)



With  $\Omega\text{-deformation}$  along the cigar  $\mathcal D$  At low energy

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Four dimensional  $\mathcal{N} = 2$  theory

Compactified onto  $\mathcal{D}_{\hbar} \times \mathbb{S}^1 \times \mathbb{R}^1$  (cigar  $\times$  circle  $\times$  time axis)



Four dimensional  $\mathcal{N} = 2$  theory

Compactified onto  $\mathcal{D}_{\hbar} \times \mathbb{S}^1 \times \mathbb{R}^1$  (cigar × circle × time axis)



Becomes 2d sigma model on  $\mathbb{R}_+ \times \mathbb{R}^1 \Longrightarrow$  deformation quantization

NN, E.Witten'2009 Using A.Kapustin,D.Orlov's branes'2003 introduced in 1978 by F. Bayen, L. Boutet de Monvel, M. Flato, C. Fronsdal, A. Lichnerowicz et D. Sternheimer'78, existence of formal def.quant. shown by M. Kontsevich in 1999 sigma model explored by A. Cattaneo and G. Felder'99

### Partition function of the quantum system

$$\operatorname{Tr}_{\mathcal{H}_{qis}} e^{-\frac{1}{\hbar}\sum_k \tau_k \widehat{H}_k}$$

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### Partition function of the quantum system

$$\mathsf{Tr}_{\mathcal{H}_{\mathsf{qis}}} e^{-\frac{1}{\hbar}\sum_{k}\tau_{k}\widehat{H}_{k}} = \mathsf{Tr}_{\mathcal{H}_{\mathsf{vac}}} e^{-\frac{1}{\hbar}\sum_{k}\tau_{k}\mathfrak{O}_{k}}$$

with  $\tau_k$  the set of "times" - generalized Gibbs ensemble with  $\mathcal{O}_k$  the basis of the twisted chiral ring

# Partition function of the quantum system

$$\mathsf{Tr}_{\mathfrak{H}_{\mathbf{qis}}} \, e^{-\frac{1}{\hbar} \sum_{k} \tau_{k} \widehat{H}_{k}} = \mathsf{Tr}_{\mathfrak{H}_{\mathbf{vac}}} \, e^{-\frac{1}{\hbar} \sum_{k} \tau_{k} \mathfrak{O}_{k}} = \mathsf{Tr}_{\mathfrak{H}_{\mathbf{vac}}} \, (-1)^{\mathsf{F}} \, e^{-\frac{1}{\hbar} \sum_{k} \tau_{k} \mathfrak{O}_{k}}$$

assuming all vacua are bosonic

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### Partition function of the quantum system

$$\begin{aligned} \mathsf{Tr}_{\mathcal{H}_{qis}} \, e^{-\frac{1}{\hbar}\sum_{k} \tau_{k} \widehat{H}_{k}} &= \mathsf{Tr}_{\mathcal{H}_{vac}} \, (-1)^{F} \, e^{-\frac{1}{\hbar}\sum_{k} \tau_{k} \mathfrak{O}_{k}} \\ &= \mathsf{Tr}_{\mathcal{H}_{gauge}} \, (-1)^{F} \, e^{-\frac{1}{\hbar}\sum_{k} \tau_{k} \mathfrak{O}_{k}} \end{aligned}$$

using  $[Q, O_k] = 0$  and the usual Witten index argument

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### Partition function of the quantum system

Partition function of the  $\mathcal{N}=2$  gauge theory on  $\mathbb{T}^2\times \mathcal{D}$  with  $\Omega\text{-deformation along }\mathcal{D}$ 

=

 $D_{\mu}\phi \longrightarrow D_{\mu}\phi + \hbar F_{\mu\theta}$ 

and 2-observables of  $\mathcal{O}_k$  integrated along  $\mathcal{D}$ 

$$\frac{1}{\hbar} \mathcal{O}_k = \int_{\mathcal{D}} \mathcal{O}_k^{(2)}$$

The latter description makes sense even when  $\hbar 
ightarrow 0$ 

### Partition function of the quantum-mechanical system

# susy Partition function of the $\ensuremath{\mathcal{N}}=2$ gauge theory

on  $\mathbb{T}^2 \times \mathbb{D}$ 

$$\int_{4d \text{ gauge superfields}} e^{-\int_{\mathbb{T}^2 \times \mathbb{D}} \mathcal{L}_{\text{SYM}}} e^{\sum_k \tau_k \int_{\mathbb{D}} \mathbb{O}_k^{(2)}}$$

~Donaldson's surface-observables  $\uparrow\uparrow\uparrow$  along  ${\mathfrak D}$ 

Unification: effective superpotential Claim: the N = 2 Landau-Ginzburg description follows from N = 2 gauge theory! Compactify the theory on large  $\mathbb{T}^2$ 


$$\begin{split} \mathcal{N} &= 2 \text{ Landau-Ginzburg description} \\ \text{follows from low-energy effective } \mathcal{N} &= 2 \text{ gauge theory!} \\ \text{Compactify the theory on large } \mathbb{T}^2 \text{ (compared to } \Lambda_{\rm QCD} \text{ scale}) \\ \text{take into account the electric } \textbf{n} \text{ and magnetic } \textbf{m} \text{ fluxes} \\ \text{go to extreme infrared} \end{split}$$

$$S_{\text{eff}} = \int_{\mathcal{D}} W_{\mathbf{n},\mathbf{m}}^{(2)} + \text{ D-terms}$$

$$\begin{split} \mathcal{N} &= 2 \text{ Landau-Ginzburg description} \\ \text{follows from low-energy effective } \mathcal{N} &= 2 \text{ gauge theory!} \\ \text{Compactify the theory on large } \mathbb{T}^2 \\ \text{take into account the electric } \mathbf{n} \text{ and magnetic } \mathbf{m} \text{ fluxes} \end{split}$$

$$\mathcal{W}_{\mathbf{n},\mathbf{m}} = \sum_{j=1}^{r} n_j a^j + m^j a_{D,j} - \mathrm{i}\tau_j u_j$$

Losev, NN, Shatashvili'97, '98, '99, rigid  $\mathcal{N}=2, d=2$ Vafa, Taylor'99  $\tau=0$ , noncompact CY3,  $\mathcal{N}=1, d=4$ Gukov,Vafa, Witten'99  $\tau=0$ , CY4,  $\mathcal{N}=2, d=2$  sugra

# From quantum mechanics to quantum field theory What we have learned

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From quantum mechanics to quantum field theory From what we have learned it is clear, we should be looking for Complex solutions of equations of motion on spacetime of the form

 $S_T^1 \times M_d$ 

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Complexify the phase space of the theory on  $M_d$ If we are lucky it will be an  $\infty$ -dimensional algebraic integrable system

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Complexify the phase space of the theory on  $M_d$ Even if we are unlucky we may still find the complex energy levels to have non-trivial  $\pi_1$  $\implies$  non-trivial critical points

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# Specific examples $\mathbb{CP}^{1}$ -model

$$S = R^2 \int_{\Sigma} d^2 \sigma \, \partial_a \vec{n} \cdot \partial_a \vec{n}, \qquad \vec{n} \cdot \vec{n} = 1, \quad \vec{n} \in \mathbb{R}^3$$

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Specific examples  $\mathbb{CP}^1$ -model Now make  $\vec{n} \in \mathbb{C}^3$ Equations of motion read

$$(-\partial\bar{\partial} + u) \vec{n} = 0$$
  
 $u = \partial\vec{n} \cdot \bar{\partial}\vec{n}$ 

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$$\mathbb{CP}^1$$
-model with  $ec{n}\in\mathbb{C}^3$ 

Equations of motion:

$$\left(-\partial\bar{\partial}+u\right)\vec{n}=0$$

 $T = \partial \vec{n} \cdot \partial \vec{n} - \text{holo } (2, 0) - \text{diff on } \Sigma, \ \bar{\partial}T = 0$  $\tilde{T} = \bar{\partial}\vec{n} \cdot \bar{\partial}\vec{n} - \text{antiholo } (0, 2) - \text{diff on } \Sigma, \ \partial\tilde{T} = 0$  $u = \partial \vec{n} \cdot \bar{\partial}\vec{n} : \text{ consistent Schrodinger potential}$ I. Krichever,  $\Sigma = T^2, T = \tilde{T} = 0, \ 94$ 

 $\mathbb{CP}^1$ -model with  $\vec{n} \in \mathbb{C}^3$ 

Equations of motion:

$$\left(-\partial\bar{\partial}+u\right)\vec{n}=0$$

 $\begin{array}{l} T = \partial \vec{n} \cdot \partial \vec{n} - \text{holo } (2,0) \text{-diff on } \Sigma, \ \bar{\partial}T = 0 \\ \tilde{T} = \bar{\partial}\vec{n} \cdot \bar{\partial}\vec{n} - \text{antiholo } (0,2) \text{-diff on } \Sigma, \ \partial\tilde{T} = 0 \\ \uparrow \text{ Conservation laws} \end{array}$ 

# $\mathbb{CP}^{1}\text{-model with } \vec{n} \in \mathbb{C}^{3}$ Equations of motion: $(-\partial \bar{\partial} + u) \vec{n} = 0$ When $\Sigma = \mathbb{T}^{2}, \ T = tdz^{2}, \ \tilde{T} = \tilde{t}d\bar{z}^{2}$

 $z \sim z + m + n\tau$ 

With some constants  $t, \tilde{t} \in \mathbb{C}$ 

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## $\mathbb{CP}^1$ -model with $\vec{n} \in \mathbb{C}^3$

To exhibit the algebraic integrability one defines an analytic curve C so that its Jacobian (or Prym variety) is abelian variety on which the motion linearizes

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#### Fermi-surface curve

 $\mathfrak{C}_{\textit{Fermi}} \subset \mathbb{C}^{\times} \times \mathbb{C}^{\times}$ 

$$\left(-\partial\bar{\partial}+u(z,\bar{z})\right)\psi=0,$$

Periodic potential:  $u(z + 1, \overline{z} + 1) = u(z + \tau, \overline{z} + \overline{\tau}) = u(z, \overline{z})$ Bloch boundary conditions

$$\psi(z+1,\bar{z}+1) = a\,\psi(z,\bar{z}),$$
  
$$\psi(z+\tau,\bar{z}+\bar{\tau}) = b\,\psi(z,\bar{z})$$

Time evolution is hidden

In progress, with I. Krichever

SU(2)-gauge theory in 3+1

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SU(2)-gauge theory in 3+1put the theory on  $S_T^1 imes S_{
m space}^3$ 

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#### Impose rotational invariance!

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Start with SU(2)-gauge theory on  $\mathbb{R}^1_T \times \mathbb{R}^3_{
m space}$  $ds^2 = dt^2 + dr^2 + r^2 d\Omega_2^2$ 

Classical Yang-Mills is conformally invariant  $\implies AdS_2 \times S^2$ 

$$d ilde{s}^2 = rac{dt^2+dr^2}{r^2} + d\Omega_2^2$$

Cylindrical symmetric ansatz (space SO(3) locked with color SU(2))

Start with SU(2)-gauge theory on  $\mathbb{R}^1_T \times \mathbb{R}^3_{\text{space}}$ 

$$ds^2 = dt^2 + dr^2 + r^2 d\Omega_2^2$$

Classical Yang-Mills is conformally invariant  $\implies AdS_2 \times S^2$ 

$$d ilde{s}^2=rac{dt^2+dr^2}{r^2}+d\Omega_2^2$$

Cylindrical symmetric ansatz (space SO(3) locked with internal SU(2))

SU(2)-gauge theory on  $\mathbb{R}^1_T \times \mathbb{R}^3_{space}$ Cylindrical symmetric ansatz,  $\widehat{n} \in S^2$ 

cf. L. Faddeev, A. Niemi'99, n dynamical

 $A = \widehat{\sigma} \cdot \widehat{n} a + (1 + \phi_2) \widehat{n} \cdot (\widehat{\sigma} \times d\widehat{n}) + \phi_1 \widehat{\sigma} \cdot d\widehat{n}$ 

 $S^2$ -dependence drops We are left with the U(1) gauge field *a* a complex scalar  $\phi = \phi_1 + i\phi_2$ On *AdS*<sub>2</sub> spacetime

 $S_{YM} 
ightarrow \int_{AdS_2} da \wedge \star da + D_a \phi \wedge \star D_a \overline{\phi} + \sqrt{g} \left(1 - |\phi|^2\right)^2$ 

Witten'78

In our case: SU(2)-gauge theory on  $S_T^1 imes S_{
m space}^3$ 

$$ds^2 = dt^2 + R^2 \left( d\theta^2 + \cos(\theta)^2 d\Omega_2^2 \right)$$

Classical Yang-Mills is conformally invariant  $\implies$   $AdS_2 \times S^2$ 

$$d ilde{s}^2 = rac{d(t/R)^2 + d heta^2}{\cos( heta)^2} + d\Omega_2^2$$

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In our case: SU(2)-gauge theory on  $S_T^1 \times S_{space}^3$ We can again use the cylindrical symmetric ansatz Again the  $S^2$ -dependence drops Again we are left with the U(1) gauge field *a* and a complex scalar  $\phi = \phi_1 + i\phi_2$ On  $AdS_2$ 

Global identifications are now different... Similarity to the anharmonic oscillator looks promising... ....to be continued

## String theory?

 $\begin{array}{l} \mbox{Complex saddle points: non-unitary 2d CFT's} \\ \mbox{RG flows in the space of complexified couplings} \\ \mbox{Lefschetz thimbles?} \\ \mbox{Proper framework for theories with complex $c_L$, $c_R$ central charges?} \\ \mbox{4d $\mathcal{N}=2$ gauge theories! again} \end{array}$ 

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#### Remark on space-time dimensionality and susy

We saw that non-supersymmetric quantum mechanics, i.e. 0 + 1 theory when subject to the full analytic continuation in all couplings

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#### Remark on space-time dimensionality and susy

We saw that non-supersymmetric quantum mechanics, i.e. 0 + 1 theory when subject to the full analytic continuation in all couplings Embeds naturally into a supersymmetric gauge theory in 3 + 1 dimensions

Remark on space-time dimensionality and susy What is the case of a non-supersymmetric theory in 3 + 1 dimensions subject to the full analytic continuation in all couplings?

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Remark on space-time dimensionality and supersymmetry

What is the case of

a non-supersymmetric theory in 3 + 1 dimensions

subject to the full analytic continuation in all couplings?

Some gauge(?) theory in 6 + 1?

#### Remark on space-time dimensionality and susy

What is the case of

a non-supersymmetric theory in 3 + 1 dimensions

subject to the full analytic continuation in all couplings?

Chern-Simons theory of the (2,0) superconformal theory in six dimensions?

#### Remark on space-time dimensionality and susy

What is the case of

a non-supersymmetric theory in 3 + 1 dimensions

subject to the full analytic continuation in all couplings?

Chern-Simons theory of the (2,0) superconformal theory in six dimensions?

Could the supersymmetry in the bulk nearly cancel the cosmological constant without affecting the Einstein gravity in our effectively 3 + 1 dimensions?

# THANK YOU